



COMPETITION RULES

Make your advisor known!

Contest Organizer

The Make Your Advisor Known Contest! contest (hereinafter referred to as the "contest" in these rules) is held and organised by La Capitale Consulting Services Inc. (hereinafter referred to as the "Organizer").

Contest Duration

The Contest runs from Monday, August 10, 2020, 8:00 a.m. (ET) to Thursday, October 2, 2020, 5:00 p.m. (ET) (hereinafter the "Contest Period").

Eligibility requirements

To be eligible to enter the Contest, a person must:

Be 18 years of age or older;

Be a client of a La Capitale Financial Security advisor who is a partner of the Organizer (hereinafter a "La Capitale advisor");

Entry forms must be completed on an electronic entry form available from your La Capitale Financial Security advisor;

No paper copy of the entry form will be accepted;

In order to obtain an entry in the contest, each eligible person must provide his or her La Capitale Financial Security advisor with the contact information of persons who may be interested in the services of a La Capitale advisor. The contact information of these people must be provided on the electronic entry form available from your La Capitale advisor.

A person may enter the contest more than once, provided that each time he or she provides the contact information of new people likely to be interested in the services of a La Capitale advisor.

Number of contest entries are based on the number of referrals provided:

Minimum of one recommendation provided to obtain an entry

The recommendations are cumulative and can be applied to the different draws in this competition. The more recommendations a client submits, the more chances he or she has to win the draws.

How to enter

Participation in this contest is free and without obligation to purchase.

To participate in this contest, a person must meet the eligibility requirements and other conditions set out in these rules.

Subject to the limitations contained in these contest rules, there is only one way to participate during the contest period:

Through your La Capitale Financial Security advisor

By filling out an entry form sent to you by e-mail by your La Capitale advisor.

Description of the prizes

5.1 Bi-weekly prizes

Every two weeks from the contest start date, the Organizer will issue a prepaid VISA credit card, which are the responsibility of each La Capitale Financial Security advisor whose clients participated in the contest:

1st

Prepaid VISA credit card

\$500

2nd

Prepaid VISA credit card

\$500

3rd

Prepaid VISA credit card

\$500

4th

Prepaid VISA credit card

\$500

Total value of prizes: \$2,000, or \$500 per draw.

5.2 Final Grand Prize

At the end of the contest, a grand prize for all financial centres will be drawn from all entries received during the eight-week contest period. This grand prize consists of a \$2,000 travel credit, redeemable at the Laurier Du Vallon travel agency.

This travel credit will be applicable to the destination of the winner's choice and can be used at any time. This travel credit has no expiry date.

Special conditions related to the prizes

All prizes awarded in this Contest are non-refundable, non-transferable, non-assessable and non-transferable.

Draws

7.1

For prepaid VISA credit cards, there will be one (1) random draw every two (2) weeks. The draws will be held on Mondays at 12:00 p.m. (ET), before a witness.

Schedule of draws during the Contest Period :

Draw cycle

Draw dates

Time of draw

1st

Monday, August 24, 2020

12:00 p.m. (ET)

2nd

Monday, September 7, 2020

12:00 p.m. (ET)

3rd

Monday, September 21, 2020

12:00 p.m. (ET)

4th

Monday, October 5, 2020

12:00 p.m. (ET)

7.2

The grand prize draw will take place on Friday, October, 19, 2020 at 12:00 p.m. from all entries received during the Contest Period. The draw will also be held before a witness from among the Organizer's employees.

7.3

The odds of winning depend on the number of eligible entries received during the Contest Period. In the event that an entrant is selected at random more than once, the Contest Organizer will hold a draw for another entrant until the two (2) winners provided for in these contest rules are declared.

7.4

The awarding of prizes is limited to one prize per person.

7.5

Prizes will be drawn as described below:

7.5.1 Prizes every two (2) weeks

The first person selected at random will be designated winner of the first prize described in clause 5.1, "Description of Prizes", the second person selected at random will be designated winner of the second prize.

7.5.2 Grand Prize

The first person selected at random will be designated winner of the Grand Prize described in clause 5.2, "Prize Description".

7.6.

The Organizer reserves the right to disqualify a person or cancel an entry that does not comply with these rules.

7.7.

Winners will be contacted by telephone. No presence is required during the draw to win the prize.

Limitation of participation and exclusions

8.1

The number of entries per person is unlimited.

8.2

Employees, mandataries, directors and representatives of the Organizer and its affiliated companies, as well as all persons with whom they are domiciled, are excluded from the contest if they are not clients of a financial security advisor who is a partner of the Organizer.

General terms and conditions

9.1

To be declared a winner, the randomly selected entrant must meet the following additional conditions:

Be reached by telephone by the organizer in order to be informed of the terms and conditions for the awarding of the prize within five (5) business days following the draw. The selected entrant must be reached within a maximum of two (2) attempts and will have five (5) days to call the Contest Organizer

back following the message left in his or her voice mailbox, as the case may be, failing which he or she will forfeit his or her right to the prize;

Demonstrate that he or she meets the eligibility and other requirements of these rules;

Correctly answer, without assistance and within a limited time, a mathematical skill-testing question asked over the telephone;

Complete and sign a declaration and liability waiver form that will be sent to him/her by the Organizer. This form must be returned to the organizer within ten (10) business days of receipt with the words "Make your advisor known! ».

The prize will be sent by mail delivery to the winner's address before October 29, 2020 at 4 p.m.

Failure to comply with one of the above-mentioned conditions or to accept the prize will result in disqualification and a new random draw will be conducted in accordance with these rules, until an eligible entrant is selected and declared a winner. If no entrant is declared a winner within thirty (30) days of the original draw, the Organizer will have the right to cancel the prize.

9.2 Verification

Entries are subject to verification by the Contest Organizer. Any entry or attempted entry that is, as the case may be, fraudulent, incomplete, received late or otherwise not in compliance with the rules will be rejected and will not entitle the entrant to an entry or prize, as the case may be. The Contest Organizer's decision regarding any aspect of this contest, including, without limitation, the eligibility or disqualification of entries, shall be final and without appeal, subject to a decision by the Régie des alcools, des courses et des jeux du Québec on a matter under its jurisdiction.

9.3 Disqualification

The Contest Organizer reserves the right to disqualify a person or cancel one or more entries from a person if that person enters or attempts to enter this contest by using a means that is contrary to these contest rules or that is unfair to other entrants. This person may be referred to the competent legal authorities, as the case may be. The Contest Organizer's decision to this effect is final and without appeal.

9.4 Acceptance of prizes

Prizes must be accepted as described in these rules and may not be transferred in whole or in part to another person, except as otherwise provided, if applicable.

9.5 Limitation of Liability - Use of Prizes

Prize winners release the Contest Organizer, its advertising and promotional agencies, their employees, agents and representatives from any liability for any damage, injury or loss they may suffer as a result of the acceptance or use of their prize. Each selected entrant acknowledges that, as soon as he or she is

informed of the terms and conditions for awarding the prize, the performance of the obligations related to the prize becomes the sole and exclusive responsibility of the various suppliers of products and services.

9.6 Limitation of liability - operation

The Contest Organizer, its employees, agents and representatives disclaim all liability arising from the malfunctioning of any computer component, software or communication line, from the loss or lack of network communication or from any transmission that is faulty, incomplete, incomprehensible or erased by any computer or network that could limit or prevent a person's ability to enter the contest.

9.7 Limitation of Liability - Entry

The Contest Organizer, its employees, agents and representatives disclaim all liability for any damage or loss that may be caused, directly or indirectly, in whole or in part, by the downloading of any Web page or software and by the transmission of any information related to participation in this contest.

9.8 Modification of the contest

The Contest Organizer reserves the right, at its sole discretion, to cancel, terminate, modify or suspend, in whole or in part, this contest in the event of an event or any human intervention that could corrupt or affect the administration, security, impartiality or conduct of the contest, as provided for in these rules, subject to the authorization of the Régie des alcools, des courses et des jeux du Québec, if required. In no event shall the Contest Organizer, its subsidiaries, advertising and promotional agencies, suppliers of products or services related to this contest, or its employees, agents and representatives be required to award more prizes or award one or more prizes other than in accordance with these contest rules.

9.9 Authorization

Prize winners authorize the Contest Organizer and its representatives to use, if required, their name, photograph, image, voice, contact information, place of residence or a statement regarding their prize for publicity purposes, without any form of compensation.

9.10 Participant

For the purposes of these rules, the eligible entrant is recognized as the person identifying himself/herself on the entry form and it is to this person that the prize will be awarded if he/she is selected and declared a winner.

9.11 Limitation of prizes

In any case, the organizer may not be required to award more prizes or to award a prize otherwise than in accordance with these rules.

9.12 Limitation of liability - participation

Anyone who enters or attempts to enter this contest releases the Contest Organizer, its subsidiaries, employees, agents and representatives from all liability for any damage they may suffer as a result of their participation or attempt to participate in this contest.

9.13 Text of rules

The text of the contest rules is available at the Contest Organizer's office located at 7150 Derrycree Dr, Mississauga, Ontario - and at the following Web address: <https://www.lacapitalefs.com/en>.

9.14 Dispute

Any litigation respecting the conduct or organization of this publicity contest may be submitted to the Régie des alcools, des courses et des jeux for a ruling. Any litigation respecting the awarding of a prize may be submitted to the Régie only for the purpose of helping the parties reach a settlement.